

**REMARKS**

Claims 1-13 are pending in the subject application. A copy of the claims is provided for the convenience of the Examiner. Applicants have not amended or canceled any claims. Accordingly, claims 1-13 are still pending.

**Specification**

Applicants acknowledge that the Examiner has withdraw the rejections to the specification based on applicant's submission of a substitute specification.

**Oath/Declaration**

The Examiner objects to the declaration as allegedly failing to comply with 37 CFR 1.67(a). Specifically, the Examiner alleges (a) that the declaration fails to identify the application by its serial number and (b) that it contains an alteration (to the address of one inventor) that is not initialed and dated. In response, applicants submit a newly executed declaration correcting these alleged deficiencies as **Exhibit A**. Accordingly, applicants respectfully request reconsideration and withdrawal of this ground of rejection.

**Claim Rejections under 35 USC 112 1<sup>st</sup> Paragraph-Written Description**

Applicants acknowledge the Examiner's admission that the claims comply with the written description requirement of 35 USC 112 1<sup>st</sup> paragraph.

**Claim Rejections under 35 USC 112 1<sup>st</sup> Paragraph-Enablement**

The Office Action maintains its rejection of claims 1-11, and further rejects new claims 12 and 13, under 35 USC 112 1<sup>st</sup> paragraph, as allegedly failing to comply with the enablement requirement.

The Office Action concedes that the specification fully enables how to make the claimed compositions and peptides, but alleges that the specification does not provide sufficient enablement

for using the claimed peptides and compositions.

In the previous Office Action, Applicants set forth at least three uses of the claimed peptides that were enabled by the specification:

(i) the use of the peptides to generate animal models of autoimmune disease through immunization with the peptides (page 52, lines 4-10);

(ii) the administration of the peptide at high doses to induce high dose tolerance, with specific reference to WO94/06828 (page 52, line 15 to page 53, line 19);

(iii) the vaccination of a subject against a human pathogen implicated in the etiology of a human autoimmune disease such as pemphigus vulgaris (page 53, line 20 to page 56 line 4).

The Examiner alleges these three uses occur *in vivo*, and that they “have the common theme that recognition of the claimed desmoglein 3 peptide sequence (SEQ ID NO:1) by cells of the immune system causes or lessens the severity (through the process of tolerance) of the autoimmune disease pemphigus vulgaris (PV).” The Examiner further alleges that Veldman demonstrates T cell recognition of an epitope corresponding to SEQ ID NO:1 in PV patients and healthy controls, allegedly indicating that recognition of this epitope is not causative or therapeutic for PV.

Based on this interpretation of Veldman, the Examiner alleges that a skilled artisan would not know how to use the peptide of SEQ ID NO:1 in applicant’s enclosed embodiments since these embodiments “require that SEQ ID NO:1 be a causative or therapeutic agent for PV, yet Veldman et al. specifically state at the end of their abstract that recognition of a distinct desmoglein 3 peptides (one of which is essentially equivalent to SEQ ID NO:1) by T cells is independent from the development of PV.”

Applicants traverse the Examiner rejection on each of the following independent grounds:

(I) The Office Action Fails to Consider Each of the Recited Uses of the Peptides

Applicants assert that the Office Action is considering the enablement of an alleged common feature of the three recited uses, rather than considering the enablement of the each of the three uses themselves. Therefore, the Office Action fails to consider the uses that are specifically recited in the specification in determining if at least one use is enabled, The Examiner instead focuses on the enablement of a broader, generalized feature that is allegedly common to the three uses: the

Examiner focuses on the “the common theme that recognition of the claimed desmoglein 3 peptide sequence (SEQ ID NO:1) by cells of the immune system causes or lessens the severity (through the process of tolerance) of the autoimmune disease pemphigus vulgaris (PV).”

Applicants submit that uses (i) and (ii) do not recite PV. Accordingly, the Examiner is not assessing the enablement of the uses specifically recited in the specification. For example, the Office Action is completely silent as to why the invention is not enabled for use (ii) recited above, *i.e.* the administration of the peptide at high doses to induce high dose tolerance. Applicants submit that the induction of tolerance to peptides by immunization at high dosages with the peptides was well-known in the art at the time the invention was filed, as evidenced by International PCT publication WO94/06828, referenced on page 52, line 17 of the originally-filed specification. Applicants respectfully note that this use does not require that the peptide necessarily be effective in treating PV. The peptide can merely be used to induce tolerance to one or more of its epitopes.

Applicants respectfully remind the Examiner that MPEP 2164.01 requires that “when a compound or composition claim is not limited by a recited use, any enabled use that would reasonably correlate with the entire scope of that claim is sufficient to preclude a rejection for nonenablement based on how to use” (emphasis added). Applicants submit that the use of the claimed peptides for inducing high-dose tolerance to the epitopes on the peptides, as well as the other uses recited in the specification, correlate with the entire scope of the claims and therefore this use is sufficient to preclude a rejection for nonenablement. Furthermore, as stated above, MPEP 2164.01 requires the Examiner to consider “any enabled use” rather than trying to extract an alleged common feature of multiple uses in which to solely base the inquiry of enablement.

## (II) The Peptides Taught by Veldman are Different from the Claimed Peptides

The Office Action asserts that because Veldman allegedly teaches that both healthy and PV patients have reactive T-cells to the claimed peptide, that recognition of this epitope is not causative or therapeutic for PV.

Applicants respectfully traverse, because none of the peptides used in Veldman are identical to the claimed peptide. The least divergent peptide in Veldman spans residues 189-205 of desmoglein 3, while the claimed peptide spans residues 186-204. The two peptides are not the same

peptide. In fact, they share only 84% (16/19 residues) amino acid identity. Accordingly, the teachings of Veldman do not apply to the claimed peptide because they describe different peptides. Furthermore, the Office Action has failed to provide a rationale why the alleged teachings of one Desmoglein 3 peptide apply to different Desmoglein 3 peptides. The Office Action has failed to justify its underlying assumption that two peptides having 84% identity must have identical biological properties *in vivo*. Since the peptides of Veldman are different from the claimed peptide, Veldman fails to undermine the therapeutic effectiveness the properties of the claimed peptide. Should the this rejection be maintained in a future Office Communication, applicants respectfully request that the basis for this assumption be made of record so that prosecution of the application can move forward.

(III) The Logic in the Rejection is Flawed: it Confuses Necessity with Sufficiency

Even if the different peptides taught by Veldman were the same as the claimed peptide, which applicants do not concede, Veldman fails to teach or suggest that the peptides of the invention would be ineffective in treating PV. In its logic, the Office Action confuses the *necessity* of Th1 cell responses to a Dsg3 antigen with the *sufficiency* of such responses in causing PV. The Office Action reasons that if Th1 cell responses to Dsg3 are not sufficient to cause PV (and they are allegedly not sufficient because healthy subjects having those Th1 cell responses do not have PV), then the Th1 responses must play no role in causing PV. This is clearly wrong. Just because they might not sufficient, on their own, to cause PV, it does not mean that they are not a necessary and integral requirement for developing PV.

The Office Action's logic is equivalent to saying that because patients suffering from an autoimmune disease and normal subjects both have an immune system, then the immune system cannot contribute to the autoimmune disease, or equivalent to saying that because patients suffering from an autoimmune diseases and normal subjects both have an immune system, suppressing the immune system cannot be therapeutic to the autoimmune-disease patient. Both of these statements are clearly wrong, as autoimmune diseases are caused by a malfunctioning immune system and suppression of the immune system is used to treat autoimmune diseases.

The last sentence in the abstract of Veldman, contrary to the allegation in the Office Action,

only refers to the finding that the types of Dsg3 peptides that are recognized by T-cells do not change as the severity of PV progresses. The last sentence of the abstract states as follows: “these findings demonstrate that T cell recognition of distinct Dsg3 peptides is restricted by distinct HLA class II molecules and is independent from the development of Pemphigus vulgaris.” The authors in Veldman found no evidence of certain peptides being associated primarily with mild PV while other peptides with severe PV, and this statement reflects this finding. This finding contrasts with other diseases where epitopes change during the course of the disease in a phenomenon called epitope spreading. Epitope spreading, and its absence in PV patients, is discussed on page 3890, 2<sup>nd</sup> column, 1<sup>st</sup> full paragraph of Veldman, which states as follows: “[o]ur findings suggests that intramolecular epitope spreading of Dsg3 T cell epitopes does not occur once the disease is clinically apparent since there was no direct relationship between Dsg3 peptide reactivity and a distinct clinical phenotype (*i.e.* active vs. remittent disease). Applicants respectfully submit that the sections of Veldman cited by in the Office Action fail to support a case of nonenablement.

Not only does Veldman fail to undermine the therapeutic benefit of the claimed peptides, subsequent work from several of the authors of Veldman, including Christian Veldman, provides a mechanistic basis for the effectiveness of Dsg3 peptides to treat PV (see Veldman *et al.* (2004) *J. Immunol.*; 172(10): 6468-75; “Veldman II”, **Exhibit B**).

Consistent with the importance of immune responses against Dsg3 in PV subjects, Veldman II teaches on page 6471, 2<sup>nd</sup> column, 1<sup>st</sup> paragraph, that as compared to PV patients, “none of the healthy donors had autoantibodies against Dsg3 as determined by ELISA”. Veldman II teaches that even though both normal and PV subjects have Th1 cells that recognize PV peptides, other types of T cells (Tc1 regulatory cells) that also bind Dsg3 peptides are differentially found in normal vs. PV subjects, and can serve as a basis for presence of the Dsg3 autoantibodies in PV patients. For example, page 6473, 1<sup>st</sup> column, last paragraph, states that “[t]he predominant isolation of the Dsg3-specific Tr1 cells from the peripheral blood of healthy donors strongly suggest that these Tr1 cells may be involved in the maintenance of self tolerance against Dsg3. Veldman II, therefore, provides a mechanistic basis as to how the peptides of the invention may be used as therapeutics for PV.

#### (IV) The Office Action Fails to Consider the Required Eight Factors in Assessing Enablement

Applicants requested in the previous office action that if the Examiner maintained the enablement rejection, that the Examiner must consider the following eight factors:

- (A) The breadth of the claims;
- (B) The nature of the invention;
- (C) The state of the prior art;
- (D) The level of one of ordinary skill;
- (E) The level of predictability in the art;
- (F) The amount of direction provided by the inventor;
- (G) The existence of working examples; and
- (H) The quantity of experimentation needed to make or use the invention based on the content of the disclosure.

The requirement to carefully consider each of these eight factors is clearly set forth in the MPEP 2164.01(a), which states as follows:

[i]t is improper to conclude that a disclosure is not enabling based on an analysis of only one of the above factors while ignoring one or more of the others. The examiner's analysis must consider all the evidence related to each of these factors, and any conclusion of no enablement must be based on the evidence as a whole. 858 F.2d at 737, 740, 8 USPQ2d at 1404, 1407.

The Office Action has again failed to consider, among others, the level of predictability in the art, the level of one of ordinary skill and or the state of the prior art (the Veldman reference cited by the Examiner does not predate the priority date of the subject application and therefore is not prior art). Applicants respectfully submit that absent the careful consideration of all eight factors as required by the MPEP that a prima facie case of nonenablement has not been made.

Based on the arguments set forth above, Applicants respectfully request reconsideration and withdrawal of the nonenablement ground of rejection.

**Entering Amendment after Final**

Applicants note that if entry of this amendment is not deemed by the Examiner to place the claims in immediate condition for allowance, it does place the claims in better condition for appeal according to MPEP 714.13(III), for example, by overcoming the

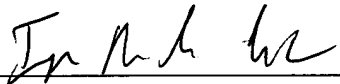
objection to the Declaration. Accordingly, applicant respectfully requests that this amendment be entered.

**CONCLUSIONS**

Applicant believes no fee is due with this response. However, if any fee is due, please charge our Deposit Account No. 18-1945, under Order No. PEPT-P01-005 from which the undersigned is authorized to draw.

Dated: July 1, 2005

Respectfully submitted,

By 

Ignacio Perez de la Cruz

Registration No.: 55,535

ROPES & GRAY LLP

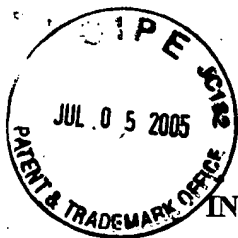
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

IDENTIFICATION OF SELF AND NON-SELF ANTIGENS IMPLICATED IN  
AUTOIMMUNE DISEASES

the specification of which was filed on March 11, 2004.

In the event that the filing date and/or Application No. are not entered above at the time I execute this document, and if such information is deemed necessary, I hereby authorize and request my attorneys/agent(s) at Ropes & Gray LLP, One International Place, Boston, Massachusetts 02110-2624, to insert above the filing date and/or Application No. of said application.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to herein.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

FOREIGN PRIORITY CLAIM

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

☒ no such foreign applications have been filed

☐ such foreign application have been filed as follows:



**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing	Priority Claimed Under 35 USC 119
			___ Yes No ___
			___ Yes No ___
			___ Yes No ___

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing

**CLAIM FOR BENEFIT OF EARLIER U.S. PROVISIONAL APPLICATIONS**

I hereby claim priority benefits under Title 35, United States Code §119(e), of any United States provisional patent application(s) listed below:

☒ no such U.S. provisional applications have been filed.

☐ such U.S. provisional application have been filed as follows:

Application Number	Date of Filing	Priority Claimed Under 35 USC 119
		___ Yes No ___
		___ Yes No ___
		___ Yes No ___

**CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)**

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information that is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56 which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

☒ no such U.S./PCT applications have been filed.

☐ such U.S./PCT application have been filed as follows:

Application Number	Relationship	Parent Application	Date of Filing

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint:

All practitioners at Customer Number 28120


all of Ropes & Gray LLP, One International Place, Boston, Massachusetts 02110-2624, jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

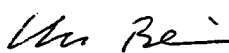
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# Type I Regulatory T Cells Specific for Desmoglein 3 Are More Frequently Detected in Healthy Individuals than in Patients with Pemphigus Vulgaris<sup>1</sup>

Christian Veldman, Annette Höhne, Detlef Dieckmann, Gerold Schuler, and Michael Hertl<sup>2</sup>

Pemphigus vulgaris (PV) is a severe autoimmune bullous skin disorder and is primarily associated with circulating autoantibodies against desmoglein 3 (Dsg3) that are presumably regulated by Th cells. The aim of this study was to identify Dsg3-specific T regulatory (Tr) cells that may help to maintain and restore natural tolerance against Dsg3. Dsg3-responsive IL-10-secreting Tr1 cells were isolated by MACS cytokine secretion assay from healthy carriers of the PV-associated HLA class II alleles, DRB1\*0402 and DQB1\*0503, but were only rarely detected in PV patients. The Dsg3-specific Tr1 cells secreted IL-10, TGF- $\beta$ , and IL-5 upon Ag stimulation, proliferated in response to IL-2 but not to Dsg3 or mitogenic stimuli, and inhibited the proliferative response of Dsg3- and tetanus toxoid-responsive Th clones in an Ag-specific (Dsg3) and cell number-dependent manner. Moreover, their inhibitory effect was blocked by Ab against IL-10, TGF- $\beta$ , and by paraformaldehyde fixation. These observations strongly suggest that 1) Dsg3-responsive Tr1 cells predominate in healthy individuals, 2) their growth requires the presence of IL-2, and 3) they exert their Dsg3-dependent inhibitory function by the secretion of IL-10 and TGF- $\beta$ . Because autoaggressive T cells responsive to identical epitopes of Dsg3 were recently found both in PV patients and healthy individuals, the identified Tr1 cells may be critically involved in the maintenance and restoration of tolerance against Dsg3. *The Journal of Immunology*, 2004, 172: 6468–6475.

Pemphigus encompasses a group of life-threatening autoimmune bullous diseases characterized by intraepithelial blister formation caused by loss of adhesion between keratinocytes induced by autoantibodies directed against desmosomal adhesion proteins (1). Pemphigus vulgaris (PV),<sup>3</sup> the most severe variant, is characterized primarily by mucosal lesions (generally when IgG autoantibodies against desmoglein 3 (autoantigen of PV) (Dsg3) are present), and mucocutaneous blisters and erosions (when IgG autoantibodies against both, Dsg3 and desmoglein 1 (autoantigen of pemphigus foliaceus) (Dsg1), are present) (1, 2). Autoantibody production in PV is polyclonal and most autoantibodies are of the IgG4 subclass in patients with active disease while autoantibodies of both IgG1 and IgG4 subtypes are predominant in chronic PV (2). The pathogenic role of autoantibodies against Dsg1 and Dsg3 has been clearly established despite the identification of potential additional autoantigens. The transfer of purified autoantibodies into neonatal mice leads to the in vivo formation of intraepidermal blisters (2). Moreover, Dsg3-deficient

mice express a phenotype with mucosal blisters/erosions that clearly resembles the clinical findings seen in PV (3).

Involvement of Th cells in the pathogenesis of PV has been suggested by several epidemiological studies showing that HLA-DRB1\*0402 is associated with PV in Jewish and HLA-DQB1\*0503 in non-Jewish populations (2). Both Dsg3-reactive Th1 and Th2 cells were identified by our group and others (4, reviewed in Ref. 5) and appear to recognize epitopes of the extracellular domain of Dsg3 in association with HLA-DRB1\*0402 and HLA-DQB1\*0503 (6). A critical role for autoreactive T cells in the induction and regulation of Dsg3 autoantibody production has been suggested by a recent study by Nishifuji et al. (reviewed in Ref. 5). Anti-Dsg3 autoantibodies secreted by autoreactive B cells were detected by means of ELISPOT assay upon in vitro stimulation of peripheral lymphocytes from PV patients with Dsg3. In contrast, activation of autoreactive B cells was virtually absent upon depletion of the peripheral lymphocytes from CD4<sup>+</sup> T cells. It is noteworthy that autoreactive Th cells recognizing identical epitopes of the Dsg3 ectodomain were also identified in healthy individuals that express the PV-associated HLA class II alleles (4–6). These findings suggest that PV is the consequence of a loss of natural tolerance against Dsg3 on the B cell level. Active immune regulation may thus be operative in Dsg3-responsive healthy individuals. It is presumed that this is not the consequence of deletion or immune deviation of autoreactive T cells because autoreactive Th cells specific for identical Dsg3 epitopes were detected both in patients and healthy donors (6).

There is now compelling evidence that CD4<sup>+</sup> T cells, specialized in suppressing immune responses, play a critical role in immune regulation. Three major populations of T regulatory (Tr) cells have been identified based on their distinct phenotype (CD4<sup>+</sup>CD25<sup>+</sup>) or cytokine secretion pattern (Tr1 and Th3 cells). Although the CD4<sup>+</sup>CD25<sup>+</sup> subset mediates suppression in a non-Ag-specific manner, the later Tr cell types may act in an Ag-specific way. Tr1 cells can be distinguished from Th3 cells because the former preferentially exert their regulatory effects via production

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<sup>3</sup> Abbreviations used in this paper: PV, pemphigus vulgaris; Dsg1, desmoglein 1 (autoantigen of pemphigus foliaceus); Dsg3, desmoglein 3 (autoantigen of PV); Tr, T regulatory; TCC, T cell clone; Ni, nickel; SI, stimulation index; GITR, glucocorticoid-induced TNFR; TT, tetanus toxoid.

of IL-10 (7), while Th3 cells preferentially secrete the immunosuppressive cytokine, TGF- $\beta$ . Tr1 cells exist naturally in the human mucosa and maintain intestinal homeostasis against bacterial pathogens (8) and parasites (9) via the production of IL-10 and TGF- $\beta$ . Similarly, MHC-autoreactive Tr1-like T cell clones (TCC) isolated from the peripheral blood of healthy donors suppressed Ag-specific T cell responses by the secretion of IL-10 and TGF- $\beta$  (10).

There is evidence that Tr1 cells may indeed act in an Ag-specific manner. In nickel (Ni) allergy, nonallergic subjects carry Ni-specific T cells that fulfill the criteria of Tr1 cells based on their cytokine profile (IL-10, IL-5, IFN- $\gamma$ , low IL-4) and their ability to suppress the proliferative response of Ni-activated Th1 cells (11) and may thus be critically involved in the down-regulation of Ni-specific Th cell responses in vivo. IL-10<sup>+</sup> Tr cells were also detected in patients allergic to bee venom upon specific immunotherapy with phospholipase A which suppressed the proliferative response of allergen-specific Th cells (12). Moreover, the expression of IL-10 increased during specific immunotherapy with phospholipase A suggesting that the protective effect of this regimen was directly correlated to the presence of IL-10<sup>+</sup> allergen-specific Tr cells.

In this study, we assessed whether the presence or absence of Dsg3-specific Tr1 cells in Dsg3-responsive healthy donors and PV patients, respectively, may be one explanation for the development of tolerance vs autoimmunity against Dsg3. In fact, Dsg3-reactive

IL-10-secreting Tr1 cells were identified in five of six healthy carriers of PV-associated HLA class II alleles (80%) and only in 2 of 12 PV patients (17%) that suppressed the proliferative response of Dsg3-reactive Th cells in an Ag-specific and cytokine (IL-10/TGF- $\beta$ ) dependent manner. In addition, 50% of the isolated IL-10<sup>+</sup> TCC from the healthy donors were of the Tr1 type while only 16% of the IL-10<sup>+</sup> TCC from the PV patients were of the Tr1 type. These findings suggest that Dsg3-specific Tr may be involved in the maintenance of peripheral tolerance to Dsg3 in healthy individuals and in the restoration of tolerance against Dsg3 in PV patients.

## Materials and Methods

### Patients and controls

Heparinized blood samples (60 ml) were obtained from a total of 14 adult patients, in treatment at the Dermatology Department (University of Erlangen, Erlangen, Germany), with active and remittent PV as well as from 11 healthy control individuals. All PV patients and healthy control donors gave written consent to participate in this study. The clinical diagnosis of PV was confirmed by 1) histopathology (suprabasal acantholytic blisters), 2) direct immunofluorescence microscopy (epidermal intercellular IgG and/or C3 deposits in perilesional skin), and 3) the detection of circulating autoantibodies by indirect immunofluorescence microscopy (intercellular IgG binding to epithelial cells of monkey esophagus) and/or by a commercial Dsg3-ELISA (MDL, Naka-ku Nagoya, Japan) (Table I). PV was defined to be active for patients suffering from blisters/erosions on the mucosal surfaces and/or skin; some of these patients had already received immunosuppressive treatment (Table I). Patients with remittent PV had not

Table I. Clinical and immunological profile of the studied patients with PV

Clinical Status <sup>a</sup>	Patient	HLA Class II <sup>b</sup> Alleles		Clinical Phenotype <sup>c</sup>		Medication <sup>d</sup> (per day)	Autoantibody Profile <sup>e</sup> (IgG)	IL-10 <sup>+</sup> T Cell Clones <sup>f</sup>	
		DRB1	DQB1	Skin	Mucosa		Anti-Dsg3	Th2	Tr1
Active PV	PV2	0401, 1401	0301, <b>0503</b>	None	Discrete erosions	24 mg of MP	8	<i>n</i> = 6 (P2-6, P2-8, P2-11, P2-12, P2-18, P2-26)	
	PV3	0804, 1411	0402, <b>0503</b>	Diss. blisters	Erosions	None	1		
	PV4	0701, 1401	02, <b>0503</b>	None	Discrete oral erosions	None	<b>58</b>	<i>n</i> = 3 (P6-6, P6-10, P6-12)	<i>n</i> = 1 (P6-7)
	PV6	0405, 1401	0302, <b>0503</b>	None	Discrete erosions	20 mg of LF	<b>181</b>		
	PV7	<b>0402</b> , 0405	02, 0302	None	Erosions	2 g of MPM, 7.5 mg of PD	<b>217</b>		
	PV8	<b>0402</b> , 1104	0301, 0302	None	Buccal erosions	None	2		
	PV9	<b>0402</b> , 1104	0301, 0302	None	Gingival erosions	None	<b>168</b>		
	PV10	0803, 1405	<b>0503</b> , 0601	Blisters/erosions	Erosions	100 mg of AZA, 24 mg of MP	<b>203</b>		
	PV11	<b>0402</b> , 1104	0301, 0302	Discrete erosions	Buccal erosions	100 mg of AZA, 24 mg of MP	<b>154</b>	<i>n</i> = 3 (P11-4, P11-10, P11-12)	
	PV13	1401, 1502	<b>0503</b> , 0601	None	Single erosions	None	<b>248</b>		
	PV14	0301, <b>0402</b>	02, 0302	Discrete augurinal erosions	None	100 mg of AZA	<b>38</b>	<i>n</i> = 4 (P14-8, P14-10, P14-19, P14-25)	<i>n</i> = 2 (P14-16, P14-18)
	PV1	0101, 1401	0501, <b>0503</b>	None (none)	None (buccal erosions)	None	<b>30</b>		
	PV5	0401, 0804	0302, 0402	None (few blisters on the trunk)	None (oral erosions)	None	6		
	PV12	<b>0402</b> , 1301	0302, 0603	None (crusty erosions of the scalp)	None (discrete oral erosions)	None	<b>42</b>		

<sup>a</sup> As classified in *Materials and Methods*.

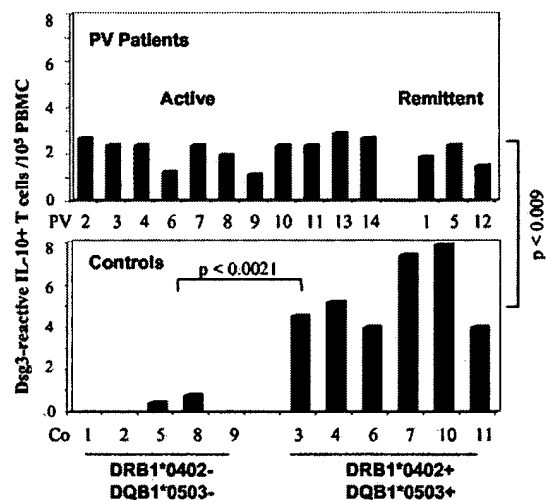
<sup>b</sup> PV-associated HLA class II alleles (bold).

<sup>c</sup> At the time of study; the clinical description of mucosal or cutaneous lesions in parentheses refers to the initial clinical phenotype.

<sup>d</sup> AZA, azathioprine; LF, leflunomide; MP, methyl prednisolone; MPM, mycophenolate mofetil; PD, prednisolone.

<sup>e</sup> As determined by ELISA with Dsg3. Values are expressed as index value ((A<sub>405</sub> (Sample) - A<sub>405</sub> (negative control)) / (A<sub>405</sub> (positive control) - A<sub>405</sub> (negative control))) × 100). Index values >14 were considered to be significant.

<sup>f</sup> IL-10<sup>+</sup> TCC isolated from each patient (Th2; Tr1).



**FIGURE 1.** Frequency analysis of desmoglein 3-reactive IL-10<sup>+</sup> T cells in patients with PV and healthy donors. PBMC from 14 PV patients and 11 healthy donors (controls) were stimulated with Dsg3 for 16 h and IL-10<sup>+</sup> T cells were isolated by MACS secretion assay. Both PV patients and healthy carriers of the PV-associated HLA class II alleles, HLA-DRB1\*0402 and DQB1\*0503, mounted significant IL-10<sup>+</sup> T cell responses against Dsg3. In contrast, healthy carriers of other HLA class II alleles did not. The frequency of the IL-10<sup>+</sup> T cells in healthy carriers of the PV-associated HLA class II alleles was significantly higher compared with those in the PV patients ( $p < 0.009$ ) and the other healthy donors ( $p < 0.0021$ ).

experienced new mucosal blisters/erosions for six or more months before the study (Table I). HLA class II genotyping was performed in all the patients and controls. The determination of HLA-DRB1 and DQB1 alleles was conducted at high resolution by enzyme-linked probe-hybridization assay (Biotest, Dreieich, Germany) using locus-specific PCR products as templates (Dr. R. Wassmuth, Institute for Transplantation Diagnostics and Cell Therapeutics, Düsseldorf University Medical Center, Düsseldorf, Germany).

#### Production and purification of human rDsg3

The recombinant protein PVhis, a fusion protein consisting of the entire extracellular domain of Dsg3 linked to an E tag and histidine tag was used as a source of human Dsg3 and was expressed in a baculovirus system with SF21 insect cells as described previously (4, 6). For the production of Dsg3

protein,  $3 \times 10^8$  High-Five insect cells were inoculated with PVhis baculovirus at a multiplicity of infection of 10. Culture supernatants of baculovirus-infected insect cells were collected after 4 days and Dsg3 protein was purified from culture supernatants over Ni-NTA-linked agarose (Qiagen, Hilden, Germany) according to the manufacturer's instructions.

#### Ex vivo isolation and quantification of Dsg3-reactive IL-10-secreting T cells

IL-10-secreting T cells were isolated from short-term (16 h) cultures with  $6-9 \times 10^7$  PBMC of PV patients/controls and  $10 \mu\text{g/ml}$  Dsg3 by MACS cytokine secretion assay following the manufacturer's protocol (Miltenyi Biotec, Bergisch Gladbach, Germany). Upon termination of the cultures, high affinity anti-human IL-10 Ab which bound to the surface of the cytokine-secreting T cells was added. Labeling with a secondary magnetic bead-coupled Ab allowed for specific enrichment of IL-10-secreting T cells by passage over magnetic columns that were finally counted in a hemocytometer, as recently described for the isolation of IL-4- and IFN- $\gamma$ -secreting Dsg3-responsive Th cells (4). The number of MACS-isolated T cells was divided by the total number of PBMC to obtain the frequency of IL-10<sup>+</sup> T cells per  $10^5$  PBMC. The statistical software package SAS (version 8.2; SAS Institute, Cary, NC) was used for descriptive uni- and bivariate statistics.

#### In vitro propagation of IL-10-secreting T cells

Following isolation by MACS assay, Dsg3-reactive IL-10-secreting T cells were cloned by limiting dilution and were expanded by repeated stimulation with 1% PHA (Life Technologies, Karlsruhe, Germany) and x-irradiated (50 Gy) allogeneic PBMC as APC followed by addition of IL-2 (10 U/ml; BD-Boehringer, Heidelberg, Germany) as described recently (4). For proliferative assays, human T cells were cultured in a medium consisting of RPMI 1640 (Life Technologies) with 10% heat-inactivated pooled human serum (Life Technologies), 100 U/ml penicillin, 100  $\mu\text{g/ml}$  streptomycin (P/S), and 20 mM L-glutamine (L-Glu). Cloned T cells ( $5 \times 10^4$ ) were cultured in duplicate with Dsg3 (10  $\mu\text{g/ml}$ ) or PHA (1%; Sigma-Aldrich, Taufkirchen, Germany) and  $5 \times 10^4$  x-irradiated (50 Gy) autologous PBMC as APC in 200- $\mu\text{l}$  96-well round-bottom microtiter plates (BD-Falcon, Heidelberg, Germany) for 72 h at 37°C in 5% CO<sub>2</sub>. In addition, TCC were also stimulated with plate-bound anti-CD3 (clone UCHT1; at 10  $\mu\text{g/ml}$ ) plus soluble anti-CD28 (clone CD28.2; at 10  $\mu\text{g/ml}$ ) (both from BD PharMingen, Heidelberg, Germany). T cell proliferation was determined by the extent of incorporation of [<sup>3</sup>H]thymidine (Dupont, Mechelen, Belgium), which was added for the final 18 h of the culture and was expressed as a stimulation index (SI), which is the ratio of [<sup>3</sup>H]thymidine uptake (cpm) in cultures with Ag to the uptake in cultures without Ag; an SI  $\geq 3$  was considered to represent significant stimulation.

#### Cytokine profile of IL-10<sup>+</sup> T cells

TCC were stimulated with Dsg3 and autologous x-irradiated (50 Gy) PBMC as APC or anti-CD3/anti-CD28 for 48 h and culture supernatants

Table II. HLA class II alleles of healthy individuals and derived Dsg3-specific IL-10<sup>+</sup> T cell clones

Controls	HLA-DRB1 <sup>a</sup>	HLA-DQB1 <sup>a</sup>	IL-10 <sup>+</sup> T Cell Clones <sup>b</sup>	
			Th2	Tr1
1	1302, 1501	0602, 0604	$n = 3$ (C3-13, C3-19, C3-25) $n = 2$ (C4-4, C4-11)	$n = 3$ (C3-6, C3-14, C3-21)
2	0301, 0401	02, 0302		$n = 5$ (C4-2, C4-10, C4-23 C4-28, C4-40)
3	0402, 1401	0302, 0503		
4	1401, 1501	0503, 0602	$n = 6$ (C6-5, C6-13, C6-31, C6-33, C6-39, C6-42)	$n = 2$ (C6-9, C6-34)
5	1501, -	0602, -	$n = 3$ (C7-17, C7-22, C7-42)	$n = 4$ (C7-19, C7-27, C7-32, C7-34)
6	0402, 1501	0303, 0602		
7	0402, 1102	0301, 0302		
8	0101, 1501	0501, 0602		
9	0701, -	02, -		
10	1104, 1401	0301, 0503	$n = 1$ (C10-6)	$n = 1$ (C10-2)
11	1301, 1401	0503, 0603		

<sup>a</sup> PV-associated HLA class II alleles (bold).

<sup>b</sup> IL-10<sup>+</sup> T cell clones derived from each donor.

were analyzed by ELISA for TGF- $\beta$  immunoreactivity according to the manufacturer's (BD PharMingen) instructions and by cytometric bead array for IL-2, IL-4, IL-5, IL-10, IFN- $\gamma$ , and TNF- $\alpha$  immune reactivities (Th1/Th2 Cytokine CBA 1; BD PharMingen) according to the manufacturer's instructions.

#### Flow cytometric analysis

To further characterize the IL-10-secreting TCC, their expression of various surface molecules was compared with Dsg3-specific Th2-like TCC. T cells were immunostained 14–20 days after *in vitro* stimulation with Dsg3 or PHA with the following Ab: PE- and FITC-conjugated Ab against CD3 (UCHT1), CD4 (RPA-T4), CD8 (RPA-T8), CD14 (M5E2), CD19 (HIB19), CD25 (M-A251), CD45RO (UCHL 1), CTLA-4 (BN13.1), CD122 (MiK- $\beta$ 2), HLA-DR (G46-6), CCR4 (1G1), CCR5 (2D7), CCR7 (3D12; all from BD PharMingen), TGF- $\beta$  (TB21; IQ Products, Groningen, The Netherlands), glucocorticoid-induced TNFR (GITR) (N-14; Santa Cruz Biotechnology, Heidelberg, Germany), and respective mouse and rat isotype controls were used. Cells were washed and stained for 30 min at room temperature with optimal dilutions of each Ab, washed again, and analyzed by flow cytometry (FACS Scan and CellQuest Software; BD Biosciences, Heidelberg, Germany).

#### *In vitro* regulatory function of IL-10<sup>+</sup> T cells

IL-10<sup>+</sup> TCC were cocultured with HLA-matched autologous Dsg3- and tetanus toxoid (TT)-specific Th2 clones, Dsg3 (10  $\mu$ g/ml) and autologous, x-irradiated (50 Gy) PBMC as APC. The proliferative response of the "responder" Th2 clones to Dsg3 and the potential extent of inhibition of Th cell proliferation by the IL-10<sup>+</sup> TCC was determined by the uptake of [<sup>3</sup>H]thymidine. Blocking experiments used to investigate whether the regulatory function of the IL-10-secreting T cells was mediated primarily by soluble factors or required cell-cell contact were also performed. Unconjugated anti-IL-10 (JES3-19F1; 10  $\mu$ g/ml) and anti-TGF- $\beta$  (A75-2.1; 2  $\mu$ g/ml; both BD PharMingen) were added to the cultures of responder TCC and IL-10<sup>+</sup> TCC cells and their effect was measured by the uptake of [<sup>3</sup>H]thymidine after 2–3 days. To address the critical role of additional suppressive factors secreted by the Tr1 cells, the IL-10<sup>+</sup> TCC were also fixed with 2% paraformaldehyde for 1 h at 4°C and were subsequently thoroughly washed before use in coculture experiments with responder TCC.

In transwell experiments, a total of 10<sup>5</sup> cloned Dsg3-responsive Th cells were stimulated with 10<sup>5</sup> autologous, x-irradiated (50 Gy) PBMC as APC and Dsg3 in 24-well plates; in addition, 2  $\times$  10<sup>4</sup> Tr cells (1:5) were either added directly to the cultures or 5  $\times$  10<sup>5</sup> cloned Th cells and 10<sup>5</sup> Tr cells were placed in transwell chambers (Millicell, 0.4  $\mu$ m; Millipore, Schwalbach, Germany). After 3 days of coculture, T cells were transferred to 96-well plates (5  $\times$  10<sup>4</sup> cells/well) in triplicate and T cell proliferation was determined by the extent of incorporation of [<sup>3</sup>H]thymidine, which was added for the final 18 h of the culture.

## Results

### Frequency analysis of Dsg3-reactive IL-10<sup>+</sup> T cells in PV patients and healthy donors

The majority (13 of 14) of the studied PV patients expressed either HLA-DRB1\*0402 (43%) or HLA-DQB1\*0503 (50%), HLA class II alleles prevalent in PV (Table I). Independent of the clinical activity and the immunosuppressive treatment of PV, all of the PV patients exhibited low but reproducibly detectable frequencies of IL-10<sup>+</sup>, Dsg3-responsive T cells ( $2.2 \pm 0.5/10^5$  cells; Fig. 1). In addition, 11 healthy donors were studied, 6 of whom were either positive for HLA-DRB1\*0402 ( $n = 3$ ) and/or HLA-DQB1\*0503 ( $n = 4$ ; Table II); none of the healthy donors had autoantibodies against Dsg3 as determined by ELISA (not shown). All of the healthy individuals expressing either HLA-DRB1\*0402 or HLA-DQB1\*0503 carried IL-10<sup>+</sup> Dsg3-responsive T cells which were detected at significantly higher frequencies ( $5.5 \pm 1.7/10^5$  PBMC) than that observed for PV patients ( $2.2 \pm 0.9/10^5$  PBMC;  $p < 0.0009$ ; Fig. 1). Frequencies of IL-10<sup>+</sup> Dsg3-responsive T cells of PV patients were similar to the frequencies of IL-4<sup>+</sup> Dsg3-responsive T cells reported in a previous study (13). In contrast, Th2 frequencies of healthy donors in the same study were below the detection limit (13). It is noteworthy that none of the healthy carriers of PV-unrelated HLA class II alleles exhibited Dsg3-specific IL-10-secreting T cell responses (Fig. 1).

### *In vitro* expansion of IL-10<sup>+</sup> T cell clones

Dsg3-reactive IL-10-secreting T cells were isolated by MACS secretion assay, cloned by limiting dilution and were expanded by repeated stimulation cycles with 1% PHA, 10 U/ml IL-2, and allogeneic PBMC as APC. Altogether, a total of 49 IL-10<sup>+</sup> Dsg3-specific TCC were derived from four PV patients ( $n = 19$ ; Table I) and from five healthy individuals ( $n = 30$ ; Table II). Of the 19 IL-10<sup>+</sup> TCC derived from the PV patients, 16 (84%) were of the Th2 type and 3 (16%) were of the Tr1 type.

In contrast, 50% of the 30 IL-10<sup>+</sup> TCC from the healthy donors were of the Tr1 type. IL-10<sup>+</sup> Th2 cells were CD4/CD45RO<sup>+</sup>, GITR<sup>+</sup>, membrane TGF- $\beta$ <sup>+</sup>, produced Th2 cytokines, and proliferated in response to Dsg3 (Table III, Fig. 2). In contrast, the Tr1 cells were CD4/CD45RO<sup>+</sup>, GITR<sup>+</sup>, membrane TGF- $\beta$ <sup>+</sup>, secreted IL-10, IL-5, TGF- $\beta$  but no IL-4, and did not proliferate in response to Dsg3 (Table III, Fig. 2) (7, 14).

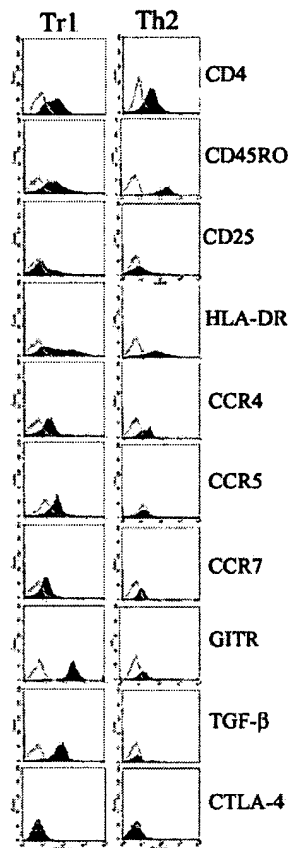
Table III. Cytokine profile of Dsg3-specific IL-10<sup>+</sup> T cell clones<sup>a</sup>

TCC	IL-2 <sup>a</sup> Ag/Mit	IL-4 <sup>a</sup> Ag/Mit	IL-5 <sup>a</sup> Ag/Mit	IL-10 <sup>a</sup> Ag/Mit	TNF- $\alpha$ <sup>a</sup> Ag/Mit	IFN- $\gamma$ <sup>a</sup> Ag/Mit	TGF- $\beta$ <sup>a</sup> Ag/Mit	Dsg3 (SI) <sup>a</sup>	Anti-CD3/28 (SI) <sup>a</sup>
<b>Tr1<sup>b</sup></b>									
C4-4	-/-	-/+	(+)/(+)	+/-	+/+	-/+	+/+	1	1.1
C4-11	-/-	-/-	-/+	+/-	+/+	-/+	+/+	1.1	1.1
C6-13	-/-	-/-	+/+	+/-	+/+	-/+	+/+	1.1	1
C6-31	-/-	-/-	+/+	+/-	+/+	+/+	+/+	1	1
C7-42	-/-	-/-	+/+	+/-	+/+	-/+	+/+	1.1	1.1
P14-16	-/-	-/-	-/+	+/-	+/+	-/+	+/+	1	1.1
<b>Th2<sup>c</sup></b>									
C4-10	-/-	+/+	+/+	+/-	-/-	-/-	-/-	3.3	4.2
C4-28	-/-	-/-	+/+	+/-	-/-	-/-	-/-	4.3	4.3
C6-9	-/-	+/+	+/+	+/-	-/-	-/-	-/-	2.7	3.3
C6-34	-/-	+/+	+/+	+/-	-/-	-/-	-/-	3.2	4.1
C7-19	-/-	+/+	+/+	+/-	-/-	-/-	-/-	3.4	3.7

<sup>a</sup> Upon stimulation with Dsg3/anti-CD3/CD28; SI (cpm with Ag/cpm without Ag).

<sup>b</sup> IL-2 (Dsg3/anti-CD3/CD28): 0/0–61; IL-4: 0/35–128; IL-5: 343–646/898–5765; IL-10: 11–49/0; TNF- $\alpha$ : 166–307/233–489; IFN- $\gamma$ : 0/134–902; TGF- $\beta$ : 101–478/250–446 pg/ml.

<sup>c</sup> IL-2 (Dsg3/anti-CD3/CD28): 276–704/367–734; IL-4: 37–125/37–138; IL-5: 46–2017/43–1334; IL-10: 21–217/0–11; TNF- $\alpha$ : 0/0; IFN- $\gamma$ : 0/0; TGF- $\beta$ : 0/0 pg/ml.



**FIGURE 2.** IL-10<sup>+</sup> Tr1-like clones exhibit distinct phenotypic differences when compared with IL-10<sup>+</sup> Th2 clones. Two distinct T cell populations were identified. The first CD3<sup>+</sup>CD4<sup>+</sup>, memory (CD45RO<sup>+</sup>) T cell population expressed HLA-DR, CCR4, CCR5, CCR7, and high quantities of GITR and membrane-bound TGF- $\beta$  and was accordingly classified as Tr1 cells (7). The second population, which was also CD3<sup>+</sup>CD4<sup>+</sup>CD45RO<sup>+</sup>, expressed HLA-DR and CCR4, but showed no or only marginal expression of CD25, CCR5, CCR7, GITR, and membrane-bound TGF- $\beta$ . This population was thus classified as Th2 cells (14). These findings were seen with a total of eight Tr1- and six Th2-like TCC.

#### Phenotypic analysis of IL-10<sup>+</sup> T cells

FACS analysis on the two distinct IL-10<sup>+</sup> T cell populations showed a differential expression pattern of distinct surface markers (Fig. 2). The first CD3<sup>+</sup>CD4<sup>+</sup>, memory (CD45RO<sup>+</sup>) T cell population was negative for CD8, CD14, CD19, and CTLA-4 and expressed low CD25, substantial HLA-DR, CCR4, CCR5, CCR7, and strong GITR and membrane-bound TGF- $\beta$ . This population

was accordingly classified as Tr1 cells (7). The second population, which was also CD3<sup>+</sup>CD4<sup>+</sup>CD45RO<sup>+</sup>, was negative for CD8, CD14, CD19, and CTLA-4, expressed HLA-DR and CCR4, but showed no or only marginal expression of CD25, CCR5, CCR7, GITR, and membrane-bound TGF- $\beta$ . This population was thus classified as Th2 cells (14). These findings were seen with a total of eight Tr1- and six Th2-like TCC.

#### Cytokine profile of IL-10<sup>+</sup> T cells

The IL-10<sup>+</sup> TCC were stimulated either with Dsg3 and HLA-matched, x-irradiated PBMC as APC or anti-CD3/anti-CD28 for 48 h and culture supernatants were analyzed by ELISA (TGF- $\beta$ ) and cytometric bead array (IL-2, IL-4, IL-5, IL-10, TNF- $\alpha$ ). As shown in Table III, two distinct T cell populations were identified. A subset of the IL-10<sup>+</sup> TCC failed to proliferate in response to Dsg3 stimulation and produced IL-5, IL-10, TNF- $\alpha$ , and variable quantities of TGF- $\beta$  upon challenge with Dsg3, resembling Tr1 cells (7). Nonspecific stimulation with anti-CD3/anti-CD28 of the Tr1 cells led to the secretion of IL-5, IFN- $\gamma$ , TNF- $\alpha$ , and low levels of TGF- $\beta$ . In contrast, another subset of the IL-10<sup>+</sup> TCC which showed a proliferative response to Dsg3 produced substantial quantities of IL-4, IL-5, and IL-10 but no Th1 cytokines upon stimulation with Dsg3 or anti-CD3/CD28 (Table III), resembling Th2 cells (14). Upon stimulation with Dsg3, the Tr1 clones C4-4, C6-13, C7-42 produced IL-10 (25, 40, 23 pg/ml), TGF- $\beta$  (189, 187, 463 pg/ml), IL-5 (588, 430, 403 pg/ml), and no IL-4 (<10 pg/ml), while the Th2 clones C4-28, C6-34, C6-9, C7-19 produced IL-10 (62, 101, 92, 113 pg/ml), IL-4 (46, 87, 63, 103 pg/ml), IL-5 (267, 545, 633, 329 pg/ml) and no TGF- $\beta$  (<10 pg/ml).

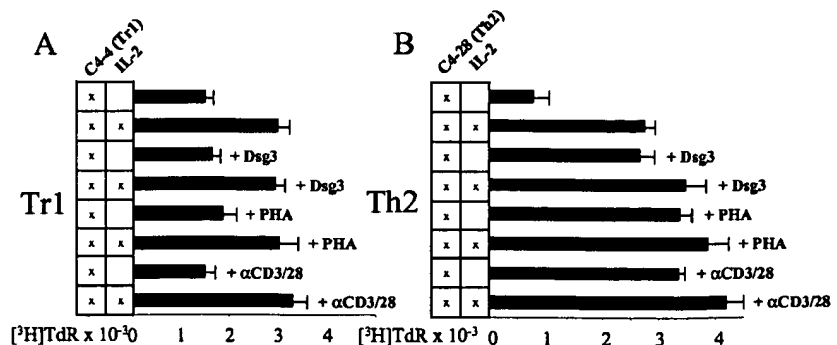
#### Proliferative capacity of IL-10-secreting T cells

A subset of the IL-10<sup>+</sup> TCC that was classified as Tr1 cells based on their cytokine production and phenotype showed no or only little proliferative response to stimulation with Dsg3, PHA, or anti-CD3/CD28 and proliferated only in response to IL-2 (Fig. 3A). In contrast, the Dsg3-responsive IL-10<sup>+</sup> Th2 clones showed a significant response to Dsg3 and mitogenic stimulation which was augmented by the addition of IL-2 (Fig. 3B).

#### IL-10<sup>+</sup> Tr1 cells suppress the proliferative response of Dsg3- and TT-specific Th clones

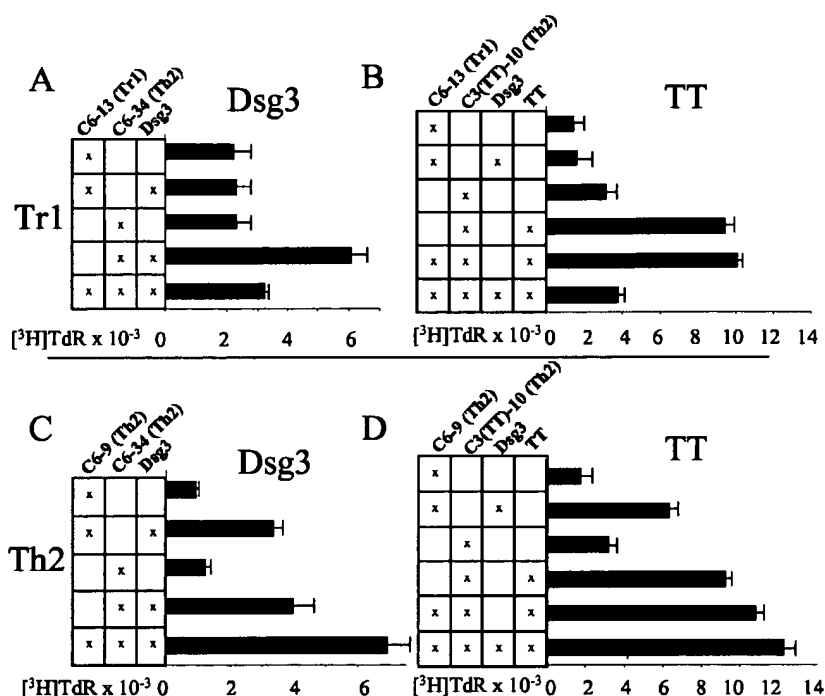
To analyze the potential regulatory properties of the IL-10<sup>+</sup> Tr1 cells, coculture experiments with Dsg3- and TT-specific responder T helper clones were performed (Fig. 4). Upon in vitro stimulation with Dsg3, the Tr1 clones significantly inhibited the proliferative response of Dsg3- (Fig. 4A) and TT-responsive (Fig. 4B) TCC.

**FIGURE 3.** Differential proliferative capacity of IL-10<sup>+</sup> Tr1 and Th2 clones. The Tr1 clone C4-4 and the Th2 clone C4-28 were cocultured in vitro with Dsg3, 1% PHA, or plate-bound anti-CD3 plus soluble anti-CD28 and x-irradiated PBMC as APC. C4-4 showed virtually no proliferative response to antigenic or mitogenic stimuli as determined by the uptake of [<sup>3</sup>H]thymidine, while exogenous IL-2 (10  $\mu$ g/ml) induced a significant proliferative response of C4-4 (A). In contrast, the Dsg3-specific Th2 clone C4-28 showed a vigorous proliferative response to Dsg3, PHA, and anti-CD3/CD28, which was augmented by the addition of IL-2 (10 U/ml) (B). These findings were seen with a total of three Tr1- and seven Th2-like TCC.





**FIGURE 4.** Differential inhibitory function of Dsg3-responsive IL-10<sup>+</sup> Tr1 and Th2 cells. The IL-10<sup>+</sup> Tr1 clone C6-13 (*A* and *B*) and the Th2 clone C6-9 (*C* and *D*) were cocultured with Dsg3- (*A* and *C*) or TT- (*B* and *D*) specific Th2 clones (C6-34, C3(TT)-10), Dsg3 (10  $\mu$ g/ml) and autologous x-irradiated (50 Gy) PBMC as APC. The proliferative response of the TCC to Dsg3 was determined by the uptake of [<sup>3</sup>H]thymidine. The proliferative response to Dsg3 of the responder TCC C6-34 was strongly inhibited upon coculture with TCC C6-13 (*A*) but not C6-9 (*C*). Accordingly, the TT-dependent proliferation of the TCC C3(TT)-10 was inhibited upon Dsg3-dependent activation of the Tr1 clone C6-13 but not by the Th2 clone C6-9. These findings were seen with a total of six Tr1- and three Th2-like TCC.



This inhibitory effect was cell number-dependent and was detectable up to a Tr1/Th ratio of 1:10 (Fig. 5, *A* and *B*); in these experiments,  $5 \times 10^4$  responder Th cells were cocultured with variable numbers ( $2.5 \times 10^3$ – $5 \times 10^4$ ) of Tr1 cells. In contrast, Dsg3-responsive IL-10<sup>+</sup> Th2 clones had no inhibitory effect on the proliferative response of Dsg3- (Fig. 4*C*) and TT-specific (Fig. 4*D*) Th clones.

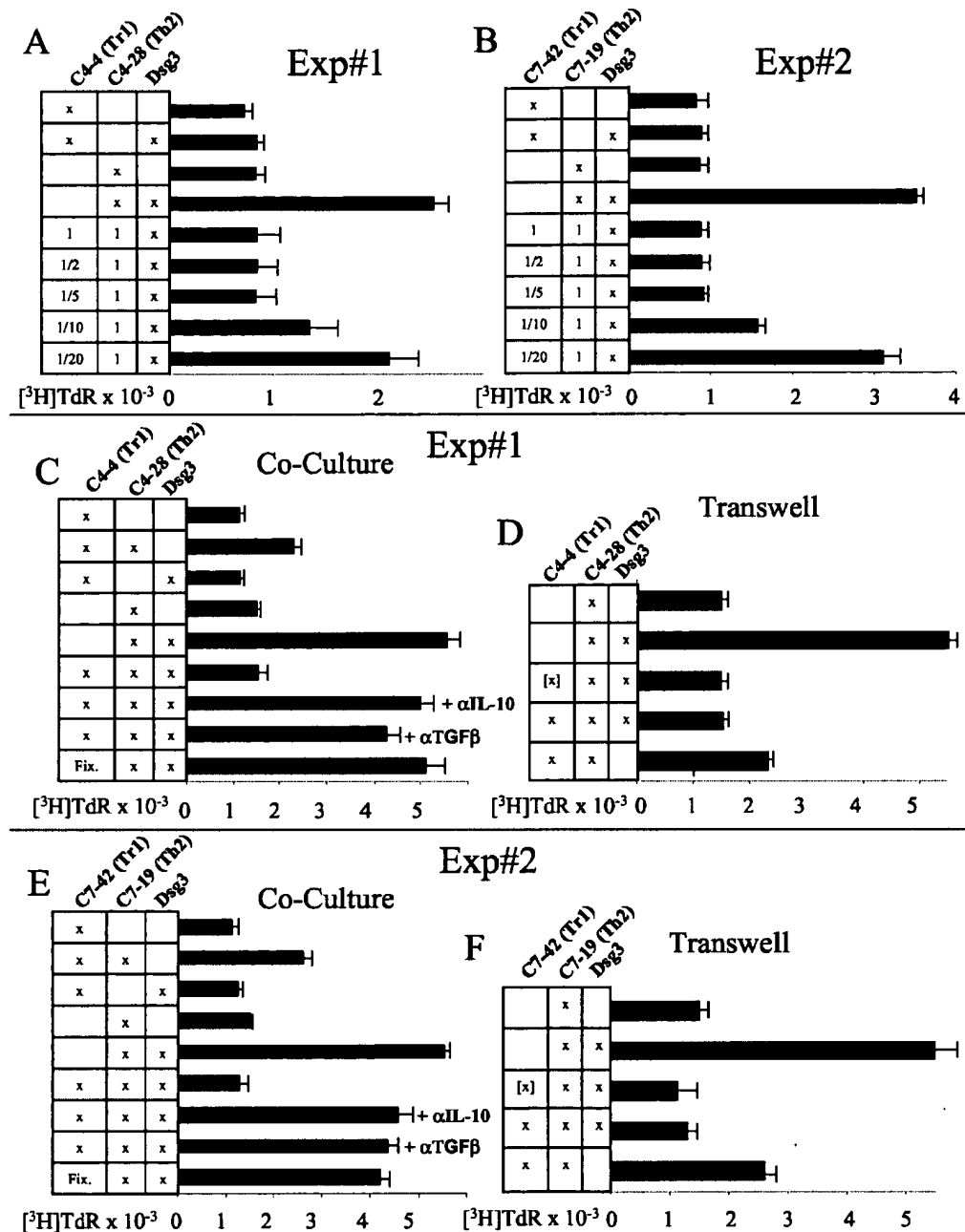
Next, we investigated whether the regulatory function of the IL-10<sup>+</sup> Tr1 cells was mediated by soluble factors or required cell-cell contact. The inhibitory effect of the IL-10<sup>+</sup> Tr1 clones on the proliferative response to Dsg3 of a Th2 clone was reversed by blocking Ab against IL-10 and TGF- $\beta$  and upon fixation of the Tr1 cells (Fig. 5, *C* and *E*). In addition, there was a dose-dependent inhibition of the Dsg3-responsive Th2 clones when exogenous rhIL-10 (1–100 ng/ml) or TGF- $\beta$  (1–100 ng/ml) was added (not shown). Despite the obvious inhibitory action of soluble factors, the additional requirement for close interaction between regulatory and responder T cells could not be excluded. Separation of the two T cell populations in transwell chambers did not abolish the suppressive effect of the Tr1 clones (Fig. 5, *D* and *F*). These observations suggest that direct cell contact is not essential for the inhibitory capacity of the IL-10<sup>+</sup> Tr1 cells, as the semipermeable membrane of transwell chambers allowed free passage of soluble factors, but excluded direct cell-cell contact.

## Discussion

In this study, Dsg3-responsive, type 1 T regulatory (Tr) cells were preferentially isolated from the peripheral blood of a subset of healthy individuals who carried the PV-associated HLA class II alleles, HLA-DR $\beta$ 1\*0402 and DQ $\beta$ 1\*0503, and only from a minority of patients with PV. The Tr1 cells exhibited a Dsg3-induced inhibitory action on the proliferative response of Dsg3-responsive, autoreactive Th clones (and also TT-responsive Th clones) which was cell-cell contact independent and was mediated by the cytokines, IL-10, and TGF- $\beta$ . The predominant isolation of the Dsg3-specific Tr1 cells from the peripheral blood of healthy donors strongly suggests that these Tr1 cells may be involved in the maintenance of self tolerance against Dsg3.

The aim of this study was to address the potential role of autoantigen-specific Tr cells in autoimmunity vs self tolerance against Dsg3 by comparing the presence of Dsg3-specific Tr cells in PV patients and healthy donors. Dsg3-specific T cells secreting the immunoregulatory cytokine, IL-10 and to a lesser degree, TGF- $\beta$ , were isolated by MACS cytokine secretion assay, cloned by limiting dilution and thoroughly characterized. Our findings demonstrate that a significant portion of the Dsg3-specific IL-10<sup>+</sup> TCC isolated from healthy donors exhibited characteristics of type 1 Tr cells based on their phenotype, cytokine profile, and in vitro regulatory function. A major finding of the present study was the observation that the immunosuppressive cytokines IL-10 and TGF- $\beta$ , which were secreted by the Dsg3-specific Tr1 cells, were exclusively responsible for their regulatory function.

There is only limited evidence for the involvement of autoantigen-specific Tr1 cells in autoimmunity (13). A decreased frequency of CD4<sup>+</sup> T cells producing IL-10, but not IL-2 or IL-4, was observed in rheumatoid arthritis, suggesting a defect in down-regulation of T cell tolerance in this disease (15). In the NOD mouse model of diabetes, both autoantigen-reactive Tr1 cells as well as Th2 cells were induced by immunization with two immunodominant glutamic acid decarboxylase 65 peptides (16). Adoptive transfer of the glutamic acid decarboxylase-reactive Tr1 cells into NOD/scid mice prevented the onset of diabetes. Their mode of action, i.e., cytokine- or cell contact-dependent suppression remained unclear. In patients suffering from multiple sclerosis, oral treatment with myelin basic protein and proteolipid protein clinically induced a state of tolerance associated with a significant increase of myelin basic protein- or proteolipid protein-specific T cells, which secreted predominately TGF- $\beta$  and moderate quantities of IL-4 and IL-10, which were accordingly classified as Th3 cells (17). Based on the differential secretion of TGF- $\beta$  and IL-10, respectively, Th3 and Tr1 cells presumably represent two different Tr cell subsets (13). IL-10<sup>-/-</sup> mice develop colitis and are susceptible to a condition resembling rheumatoid arthritis (18), indicating that this cytokine has an essential role both in maintaining intestinal tolerance to normal enteric Ags and in systemic tolerance



**FIGURE 5.** IL-10<sup>+</sup> Tr1 cells suppress the proliferative response of Dsg3-specific Th2 cells in a cell ratio-dependent manner mediated by IL-10 and TGF- $\beta$  and no cell-cell contact. Variable numbers ( $2.5 \times 10^3$ – $5 \times 10^4$  T cells) of the IL-10<sup>+</sup> Tr1 clones C4-4 (A) and C7-42 (B) were cocultured with the Dsg3-specific Th2 clones C4-28 (A) and C7-19 (B) (both at  $5 \times 10^4$  cells) and Dsg3. The IL-10<sup>+</sup> Tr1 clones significantly inhibited the proliferative response to Dsg3 of the Th2 clones up to a ratio of 1:10 (A and B). The IL-10<sup>+</sup> Tr1 clones C4-4 (C and D) and C7-42 (E and F) were cocultured with the Dsg3-specific Th2 cell clones C4-28 (C and D) and C7-19 (E and F) and inhibited the proliferative response to Dsg3 of the Th2 clones at a ratio of 1:5 (Tr1 cells:  $10^4$ ; Th2 cells:  $5 \times 10^4$ ). Neutralizing Ab against IL-10 (10  $\mu$ g/ml) and TGF- $\beta$  (2  $\mu$ g/ml) or fixation reversed the regulatory activity of C4-4 and C7-42 (C and E). Coculture of the two T cell populations at the same ratio which were separated by transwell chambers [x] did not abolish the suppressive effect of the Tr1 clones, indicating that the regulatory function of C4-4 and C7-42 did not require cell-cell contact (D and F).

to self Ags. The action of TGF- $\beta$  on T cells is critical for prevention of autoimmunity, as demonstrated in mice genetically engineered to express a dominant-negative TGF- $\beta$  receptor II subunit specifically in T cells (19). These mice developed a spontaneous autoimmune disease, with inflammatory infiltrates in several organs and circulating autoantibodies.

Apart from their regulatory action on autoaggressive Th cells responsive to Dsg3, the generated IL-10<sup>+</sup> TCC expressed a distinct phenotype characteristic for Tr1 cells. In contrast to the IL-

10<sup>+</sup> Th2 cells, they were clearly positive for two markers found on regulatory T cell subsets, i.e., GITR and membrane-bound TGF- $\beta$ . GITR is a cell membrane receptor associated with the regulatory function of CD4<sup>+</sup>CD25<sup>+</sup> T cells and anti-GITR Ab abrogate their regulatory function (20, 21). Even though GITR is also expressed on activated Th cells, there is evidence that Tr cells can be activated through GITR leading to a loss of tolerance in vivo (20). In an animal model of inflammatory bowel disease, both GITR<sup>+</sup>CD4<sup>+</sup>CD25<sup>+</sup> as well as GITR<sup>+</sup>CD4<sup>+</sup>CD25<sup>-</sup> T cells act

as suppressors of inflammation (22). Further studies are required to address the question as to whether activation of the identified Dsg3-specific Tr1 cells through GITR enhances their regulatory capacity or not. Membrane-bound TGF- $\beta$  may be also an important effector for cell contact-dependent inhibition of CD4<sup>+</sup>CD25<sup>+</sup> T cells (23). In contrast, CTLA-4, which is also found on CD4<sup>+</sup>/CD25<sup>+</sup> Tr cells (24), was not expressed by the identified Dsg3-specific Tr1 cells. Because the transcription factor Foxp3 (scurfin) is associated with the regulatory function of T cells, it may be involved in the regulation of GITR and CTLA-4 (25–27). In humans, mutations of Foxp3 induce an autoimmune syndrome characterized by polyendocrinopathy and enteropathy (28).

Tr1 cells, such as the IL-10<sup>+</sup> Dsg3-specific Tr cells identified in the present study, can be induced in vitro by stimulation of naive T cells in the presence of IL-10 and IFN- $\alpha$  (29). They also appear to be induced by repeated Ag stimulation of naive T cells leading to the down-regulation of immune responses following transfer in vitro (30). Similarly, repetitive in vitro stimulation with APC loaded with tumor-associated Ags (31) or in vivo stimulation with superantigen (32, 33) led to the emergence of CD4<sup>+</sup> T cells that suppressed naive T cell responses via the production of IL-10. Groux et al. (29) reported the induction of both human and murine Tr1 cells upon chronic activation of CD4<sup>+</sup> T cells in the presence of IL-10. In a mouse model of inflammatory bowel disease, the transfer of as few as  $2 \times 10^5$  OVA-specific Tr1 cells (which were induced in vivo by oral administration of OVA) prevented the development of colitis (29).

To the best of our knowledge, this is the first study providing evidence supporting the theory that autoantigen-specific Tr1 cells may be relevant in the maintenance of tolerance against a defined human autoantigen. Our observations strongly suggest that immunological tolerance against Dsg3, the autoantigen of PV may be, at least partly, mediated by Dsg3-specific type 1 Tr cells. These findings provide a sound explanation as to why B cell tolerance against Dsg3 exists in healthy individuals who carry autoaggressive T cells reactive to Dsg3 epitopes identical to those recognized by T cells from the PV patients. Thus, Dsg3-responsive Tr1 cells may represent an ideal tool to therapeutically restore Dsg3-specific immune tolerance in PV.

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